

# Higher-gradient theories for fluids and concentrated effects

Giulio Giuseppe Giusteri

Dottorato in Matematica Pura e Applicata  
Università degli Studi di Milano-Bicocca

13 gennaio 2012

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

## Higher-order theories: *why not?*

- A new equation of motion
- Constitutive theory
- Well-posed differential problems

## Higher-order theories: *why?*

- General set up for dynamical systems
- Higher-order power expenditures
- Constitutive role of kinematics

Higher-gradient theories for fluids

G.G. Giusteri

Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects

FRIED & GURTIN, *Arch. Ration. Mech. Anal.*, 2006

$$\int_{\Omega} \mathbf{T}_u \cdot \nabla \mathbf{v} + \int_{\Omega} \mathbf{G}_u \cdot \nabla \nabla \mathbf{v} = \int_{\Omega} \rho (\mathbf{b} - \dot{\mathbf{u}}) \cdot \mathbf{v}$$

- Mechanical constraint:  $\operatorname{div} \mathbf{u} = \operatorname{div} \mathbf{v} = 0$
- A new length-scale appears:  $[\mathbf{G}_u] = [length][\mathbf{T}_u]$
- A legitimate choice

MUSESTI, *Acta Mech.*, 2009

$$\mathbf{T}_u = 2\mu \text{Sym } \nabla \mathbf{u} - p \mathbf{I}$$

$$\mathbf{G}_u = (\eta_1 - \eta_2) \nabla \nabla \mathbf{u} + 3\eta_2 \text{Sym } \nabla \nabla \mathbf{u}$$

$$- (\eta_2 + 5\eta_3) \Delta \mathbf{u} \otimes \mathbf{I} + 3\eta_3 \text{Sym } (\Delta \mathbf{u} \otimes \mathbf{I}) - \mathbf{I} \otimes \mathbf{p}$$

Three new material parameters such that

$$\frac{[\eta_i]}{[\mu]} = [length]^2$$

The instant dissipation must be nonnegative for any flow

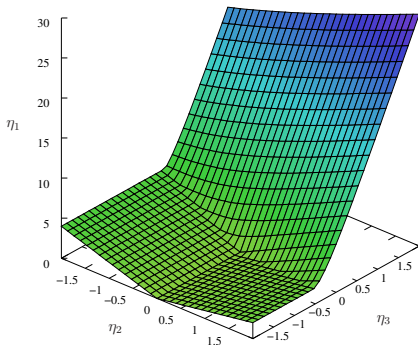


$$\mu \geq 0$$

$$\eta_1 + 2\eta_2 \geq 0$$

$$\eta_1 - \eta_2 \geq 0$$

$$\eta_1 - \eta_2 - 6\eta_3 - 2\sqrt{\eta_2^2 + 4\eta_2\eta_3 + 9\eta_3^2} \geq 0$$



# 1D rigid bodies in a 3D region

Higher-gradient theories for fluids

G.G. Giusteri

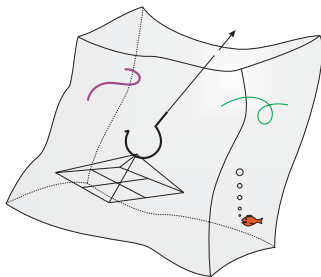
Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects



Situations:

- ① A pressure gradient drives the flow producing in- and out-flows through some parts of the boundary
- ② A closed container where thin objects swim

The thin moving objects are rigid bodies with finite 1D Hausdorff measure, so that their motion plainly satisfies the incompressibility condition, though they cannot swim.

# Existence and uniqueness of flows

Higher-gradient theories for fluids

G.G. Giusteri

Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects

GIUSTERI, MARZOCCHI, MUSESTI  
*Mech. Res. Commun.*, 2010 – *Acta Mech.*, 2011

- $H$  and  $H_d^2$ : completion in  $L^2$  and in  $H^2$  of divergence-free smooth vector fields
- $\Lambda(t)$ : position of the 1D rigid body at time  $t$
- $X_t := \{ \mathbf{v} \in H^2(\Omega; \mathbb{R}^3) : \mathbf{v} = 0 \text{ on } \partial\Omega \cup \Lambda(t) \} \cap H_d^2$
- divergence-free interpolator  $\mathbf{w}$  for the velocity on  $\Lambda(t)$

For every initial datum  $\mathbf{u}_0 \in H$ , there exists a unique

$$\mathbf{u} \in L^2([0, T]; X_t) \cap C^0([0, T]; H) \cap H^1([0, T]; X'_t) =: \mathcal{X},$$

such that the field  $\mathbf{u} + \mathbf{w}$  represents the considered flow.

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

- A suitable function space to model adherence
- Mapping to a time-independent space, with a time-dependent differential operator
- Compact nonlinearity:

$$\dot{\mathbf{u}} = \frac{\partial \mathbf{u}}{\partial t} + (\nabla \mathbf{u})\mathbf{u}$$

- Lax-Milgram provided  $\mu > 0$  and  $\eta_1 - \eta_2 > 0$
- Fixed-point theorem based on *a priori* estimates
- Uniqueness thanks to the essential boundedness of functions in  $\mathcal{X}$



Higher-gradient theories for fluids

G.G. Giusteri

Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects

A dynamical system is a triple  $(\Omega, \mathcal{D}, \mathcal{S})$  where:

- ① the set  $\Omega$  is called *underlying space*;
- ② the *phase space*  $\mathcal{D}$  is a product of sets of functions, elements of  $\mathcal{D}$  are called *configurations*, and their components are the *descriptors* of the system;
- ③  $\mathcal{D}$  is a Banach Manifold;
- ④  $\mathcal{S}$  is a section of the cotangent bundle on  $\mathcal{D}$ .

A point  $u \in \mathcal{D}$  is an *equilibrium configuration* if

$$\langle \mathcal{S}_u, v \rangle = 0$$

for every *generalized virtual velocity*  $v \in T_u \mathcal{D}$ .

This condition is called *Principle of Virtual Powers*.

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

- Both finite and infinite dimensional, depending on  $\mathcal{D}$
- Both steady and evolutionary problems

$$\left\langle \frac{\partial u}{\partial t}, v(t) \right\rangle = \langle \mathcal{S}_{u(t)}, v(t) \rangle \iff \langle \tilde{\mathcal{S}}_{\tilde{u}}, \tilde{v} \rangle = 0$$

- Kinematical prescriptions on  $\mathcal{D}$  are fundamental:  
if  $\mathcal{D}$  is a Banach space,  $T_u \mathcal{D} \cong \mathcal{D}$
- Constitutive prescriptions involve  $\mathcal{S}$ , but its  
representation is also dictated by kinematics
- Emphasis is shifted from  $\Omega$  to  $\mathcal{D}$

# Non-smooth domains

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

- 1 In a Lagrangian perspective, topological properties of  $\Omega$  are relevant, and the *placement* is a fundamental descriptor;
- 2 in an Eulerian perspective, properties of  $\Omega$  are less important: phase spaces with a similar structure can be defined on domains with very different structures.

The interrelation between the Lebesgue measure and the Euclidean distance, on which the classical Sobolev spaces are built, can be reproduced on poorer *metric measure spaces*.

This allows for the generalization to non-smooth domains of continuum mechanical theories based on Sobolev spaces.

# A definition for higher-order power expenditures

Higher-gradient theories for fluids

G.G. Giusteri

Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects

Take  $\Omega \subseteq \mathbb{R}^n$  open, and assume that

$$\mathcal{D} = H^{k_1}(\Omega) \times \dots \times H^{k_m}(\Omega).$$

A  $(k_1, \dots, k_m)$ -power is a section  $\mathcal{P}$  of the cotangent bundle  $T^*\mathcal{D}$  such that, for any  $v = (v_s)_{s=1}^m \in T_u\mathcal{D}$ ,

$$\langle \mathcal{P}_u, v \rangle = \sum_{s=1}^m \sum_{i=0}^{k_s} \int_{\Omega} A_u^{(i,s)} \cdot \nabla^i v_s,$$

for some vector fields  $A^{(i,s)}$  on  $\mathcal{D}$ , with values in  $L^2(\Omega; \mathbb{R}^{n^i})$ .

Let  $\Omega \subseteq \mathbb{R}^3$  be an open bounded domain with piecewise smooth boundary, and let  $\mathcal{E}$  denote the singular part of  $\partial\Omega$ ; then there exist tensor fields  $\hat{\mathbf{b}}_{\mathbf{u}}$ ,  $\hat{\mathbf{t}}_{\mathbf{u}}$ , and, if  $k \geq 2$ ,  $\{\hat{\mathbf{M}}_{\mathbf{u}}^{(s)}\}_{s=0}^{k-2}$  and  $\{\hat{\mathbf{K}}_{\mathbf{u}}^{(s)}\}_{s=0}^{k-2}$ , such that

$$\begin{aligned} \sum_{i=0}^k \int_{\Omega} \mathbf{A}_{\mathbf{u}}^{(i)} \cdot \nabla^i \mathbf{v} &= \int_{\Omega} \hat{\mathbf{b}}_{\mathbf{u}} \cdot \mathbf{v} + \int_{\partial\Omega} \hat{\mathbf{t}}_{\mathbf{u}} \cdot \mathbf{v} \\ &+ \sum_{s=0}^{k-2} \int_{\partial\Omega} \hat{\mathbf{M}}_{\mathbf{u}}^{(s)} \cdot \frac{\partial}{\partial n} (\nabla^s \mathbf{v}) + \sum_{s=0}^{k-2} \int_{\mathcal{E}} \hat{\mathbf{K}}_{\mathbf{u}}^{(s)} \cdot \nabla^s \mathbf{v}. \end{aligned}$$

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

$$\hat{\mathbf{b}}_{\mathbf{u}} = \sum_{i=0}^k (-\operatorname{div})^i \mathbf{A}_{\mathbf{u}}^{(i)}$$

$$\hat{\mathbf{t}}_{\mathbf{u}} = \sum_{i=1}^k \sum_{j=1}^i (-\operatorname{div}_S)^{(j-1)} \{ [(-\operatorname{div})^{(i-j)} \mathbf{A}_{\mathbf{u}}^{(i)}] \mathbf{n} \}$$

$$\hat{\mathbf{M}}_{\mathbf{u}}^{(s)} = \sum_{i=s}^{k-2} \sum_{j=0}^{i-s} \{ (-\operatorname{div}_S)^{(j)} [ [(-\operatorname{div})^{(i-j-s)} \mathbf{A}_{\mathbf{u}}^{(i+2)}] \mathbf{n} ] \} \mathbf{n}$$

$$\hat{\mathbf{K}}_{\mathbf{u}}^{(s)} = \sum_{i=s}^{k-2} \sum_{j=0}^{i-s} \{ (-\operatorname{div}_S)^{(j)} [ [(-\operatorname{div})^{(i-j-s)} \mathbf{A}_{\mathbf{u}}^{(i+2)}] \mathbf{n}_a ] \} \mathbf{e}_a$$

$$+ \sum_{i=s}^{k-2} \sum_{j=0}^{i-s} \{ (-\operatorname{div}_S)^{(j)} [ [(-\operatorname{div})^{(i-j-s)} \mathbf{A}_{\mathbf{u}}^{(i+2)}] \mathbf{n}_b ] \} \mathbf{e}_b$$

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

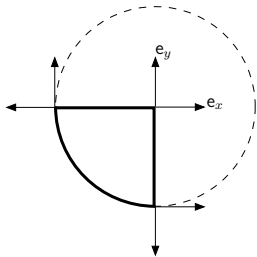
DEGIOVANNI, MARZOCCHI, MUSESTI  
*Ann. Mat. Pura Appl.*, 2006

$$\hat{\mathbf{b}}_{\mathbf{u}} = \mathbf{A}_{\mathbf{u}}^{(0)} - \operatorname{div} \mathbf{A}_{\mathbf{u}}^{(1)} + \operatorname{div} \operatorname{div} \mathbf{A}_{\mathbf{u}}^{(2)}$$

$$\hat{\mathbf{t}}_{\mathbf{u}} = [\mathbf{A}_{\mathbf{u}}^{(1)} - \operatorname{div} \mathbf{A}_{\mathbf{u}}^{(2)}] \mathbf{n} + \operatorname{div}_S [\mathbf{A}_{\mathbf{u}}^{(2)} \mathbf{n}]$$

$$\hat{\mathbf{m}}_{\mathbf{u}} = \mathbf{A}_{\mathbf{u}}^{(2)} [\mathbf{n} \otimes \mathbf{n}]$$

$$\hat{\mathbf{k}}_{\mathbf{u}} = \mathbf{A}_{\mathbf{u}}^{(2)} [\mathbf{e}_a \otimes \mathbf{n}_a + \mathbf{e}_b \otimes \mathbf{n}_b]$$



- Ansatz:  $\mathbf{u} = u(r)\mathbf{e}_z$
- $L := \sqrt{(\eta_1 - \eta_2 - 4\eta_3)/\mu}$
- Solution:
 
$$u(r) = \alpha_1 + \alpha_2 I_0(r/L) + \alpha_3 [\log(r/L) + K_0(r/L)]$$

- B.C. and adherence:  $u(0) = U$ ,  $u(R) = 0$ ,

$$\left[ \eta_1 \frac{\partial^2 u}{\partial r^2} - (\eta_2 + 4\eta_3) \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial u}{\partial r} \right) \right]_R = 0$$

- Concentrated stress:  $\hat{\mathbf{k}}_{\mathbf{u}} \propto \eta_1 \frac{\partial^2 \mathbf{u}}{\partial x \partial y}$ , independent of  $\eta_3$



Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

PODIO-GUIDUGLI & VIANELLO  
*Contin. Mech. Thermodyn.*, 2010

If the second-order tensor takes the form

$$\mathbf{G}_u = \beta(\mathbf{g}_u \otimes \mathbf{I}) + \textit{pressure terms}$$

for some vector field  $\mathbf{g}_u$ , then  $\hat{\mathbf{k}}_u = 0$ , and there is no concentrated interaction along edges.

This is the case when  $\eta_1 = \eta_2 = 0$ ,  $\eta_3 < 0$ , with  $\mathbf{g}_u = \Delta \mathbf{u}$ .

# A further differential problem

Higher-gradient theories for fluids

G.G. Giusteri

Second-gradient liquids

Constitutive laws  
Differential problems

Banach manifolds and mechanics

New features  
Higher-order powers

Concentrated effects

With  $\eta_1 = 0$  coercivity on  $H^2$  is lost, and also concentrated stresses disappear.

Can the adherence to 1D bodies still be modeled?

- 1 Choose a suitable phase space

$$\mathcal{D} := L^2([0, T]; Y) \cap C^0([0, T]; Y) \cap H^1([0, T]; Y')$$

$$Y := \{ \mathbf{u} \in H_d^1 : \Delta \mathbf{u} \in L^2 \text{ and } \mathbf{u} = 0 \text{ on } \partial\Omega \cup \Lambda(t) \}$$

- 2 Constitutive prescriptions with  $\eta_1 = 0$  and  $\eta_3 < 0$  define an integral representation of a section of  $T^*\mathcal{D}$
- 3 Estimates can be proved, providing existence of flows
- 4 Continuity of functions in  $Y$  permits to model adherence, and essential boundedness gives uniqueness

Higher-gradient  
theories for fluids

G.G. Giusteri

Second-gradient  
liquids

Constitutive laws  
Differential problems

Banach manifolds  
and mechanics

New features  
Higher-order powers

Concentrated  
effects

. . . Alfredo, Alessandro, Marco,  
Gianmario, Maria Clara, Maurizio, Paolo, Susanna,  
Giovanni, Holger, Sara, Tommaso, Benedetta, Laura, Marco,  
Cecilia, Federico, Linda, Michele, Chiara, Giusy, Maria Teresa,  
Emanuele, Federica, Giovanni, Luca, Raimondo, Stefano,  
Gian Michele, Roberto, Mariavittoria, Severino,  
Tiziana, Emma Maria . . .